

# Mandolucci

Today: advertisement

definition, example

## Knot Floer Homology

$K \subset S^3$   
Knot

simplest version

$$\widehat{HFK}(K) = \bigoplus_{m, s \in \mathbb{Z}} \widehat{HFK}_m(K, s)$$

bigraded abelian group

$$\chi(\widehat{HFK})$$

$$= \sum (-1)^{m+s} \text{rank } \widehat{HFK}_m(K, s)$$

$$= \text{Alexander polynomial } \Delta_*(K)$$

$m$ : Maslov (homological) grading

$s$ : Alexander (filtration) grading

## Properties

Ozsvath-Szabo

$$\textcircled{1} \text{ genus}(K) = \max \{ s \mid \widehat{HFK}_*(K, s) \neq 0 \}$$

"

$$\min \{ g \mid \Sigma \subset S^3, \partial \Sigma = K, \text{ genus } \Sigma = g \}$$

Hence  $\widehat{HFK}$  detects the unknot

$\textcircled{2} K$ : fibered  $\iff \text{rk } \widehat{HFK}_*(K, \text{genus}(K)) = 1$

i.e.  $F \rightarrow S^3 - K$

$\downarrow$   
 $S^1$

Hence (Thurston)

$\widehat{HFK}$  detects  $\int$  and  $\cup$ ,  
3

$\textcircled{3}$  There are other versions  $HFK^-, HFK^+, CFK^-, \dots$

These encode the Heegaard Floer homologies of Dehn surgeries on  $K$ .

Original def. of HFK uses pseudoholomorphic curves  
 (Ozsvath-Szabo)  
 Rasmussen 2002

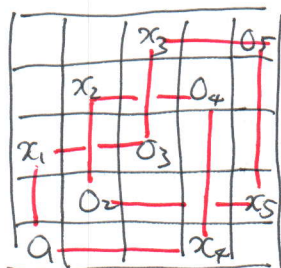
Combinatorial description M. Ozsvath, Sarkar (2006)  
 M. Ozsvath, Szabo, D. Thurston

↳ Today

grid diagrams

$K \subset S^3$  knot

Def A grid diagram for  $K$  is an  $n \times n$  grid in the plane  
 with some marking  $X_1, X_2, \dots, X_n$   
 $O_1, O_2, \dots, O_n$



s.t.

in each column & row

$\exists$  exactly one  $X$  & one  $O$

trefoil

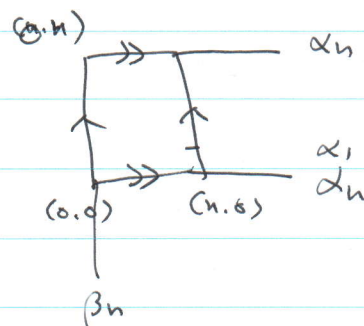
If we join  $X$  to  $O$  in each column & each row  
 (vertical top)



then we get a diagram for  
 the knot  $K$

Remark All this can be done for links too

$G = \text{grid diagram} \rightsquigarrow \text{put on a torus}$



$$\alpha_i = \{y = i\} \quad 1 \leq i \leq n$$

$$\beta_j = \{x = j\} \quad 1 \leq j \leq n$$

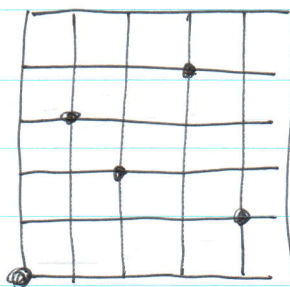
$$x_{ij} = \alpha_i \cap \beta_j$$

Set of generators

$$S(G) = \{ \vec{x} = (x_{1\sigma(1)}, x_{2\sigma(2)}, \dots, x_{n\sigma(n)}) \mid \sigma \in S_n \} \cong S_n$$

We will work over  $F = \mathbb{Z}/2$

Rmk everything can be done on  $\mathbb{Z}$



$$R = F[\alpha_1, \dots, \alpha_n]$$

We'll define a complex  $(C(G), \partial)$

$C(G)$ : free  $R$ -modules with generators  $S(G)$

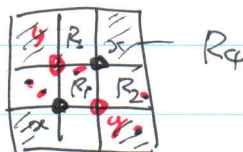
Notation

If  $\vec{x}, \vec{y}$  differ by a transition

i.e. differ on 2 rows & 2 columns only

$\mathcal{J} = \text{torus}$

$$= R_1 \cup R_2 \cup R_3 \cup R_4$$



$$\text{Rect}(\vec{x}, \vec{y}) := \{R_1, R_2\}$$

$$\text{Rect}(\vec{y}, \vec{x}) = \{R_3, R_4\}$$

$x \rightarrow y$  左側 (2食子)

Rect  $(\vec{x}, \vec{y}) \ni r$

$$\text{set } X_i(r) = \begin{cases} 1 & \text{if } x_i \in r \\ 0 & \text{if not} \end{cases}$$

$$O_i(r) = \begin{cases} 1 & \text{if } 0_i \in r \\ 0 & \text{if not} \end{cases}$$

$$G(r) = \# \{x_{ij} \in X \mid x_{ij} \in \text{Int}(r)\}$$

e.g.  $G(R_1) = 2, G(R_3) = 0$

If  $\vec{x}, \vec{y}$  not differed by a transposition

$$\text{set } \text{Rect}(\vec{x}, \vec{y}) = \emptyset$$

$$\text{Rect}^0(\vec{x}, \vec{y}) := \{r \in \text{Rect}(\vec{x}, \vec{y}) \mid G(r) = 0\}$$

$$\partial^- : C(G) \rightarrow C(G) \quad \text{linear}$$

$\vec{x} \in S(G)$  set

$$\partial^- \vec{x} = \sum_{\vec{y}} \sum_{r \in \text{Rect}^0(\vec{x}, \vec{y})} u_1^{O_1(r)} u_2^{O_2(r)} \dots u_n^{O_n(r)} \vec{y}$$

$$X_i(r) = 0 \quad \forall i$$

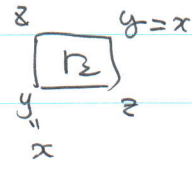
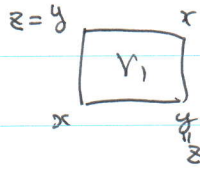
count rectangles with no extra generators inside  
keep track of 0's no X's

Prop.  $\partial \circ \partial^- = 0$

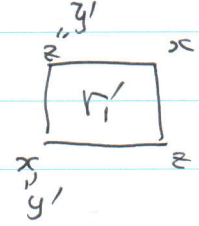
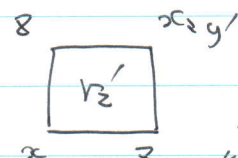
(proof)

$$\partial \circ \partial^- x = \sum_{\vec{y}, \vec{z}} \sum_{\substack{r_1 \in \text{Rect}(\vec{x}, \vec{y}) \\ r_2 \in \text{Rect}(\vec{y}, \vec{z}) \\ X_i(r_2) = 0}} u_1^* \dots u_n^* z$$

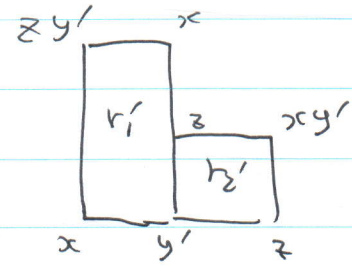
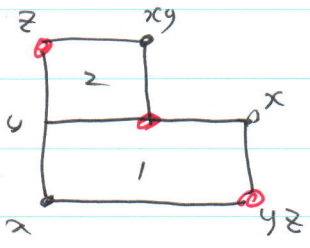
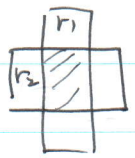
If  $r_1$  &  $r_2$  disjoint



cancels out with



If not



$\square, \uparrow$  etc

So  $\partial \circ \partial = 0$

$C(F)$  : module over  $\mathbb{F}[U_1, \dots, U_n]$

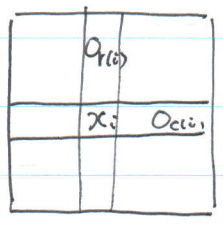
by def.

Lemma,  $\partial_i, \partial_j : C(G) \rightarrow C(G)$  are chain maps

and are chain homotopic

$\partial_i - \partial_j = \partial H_{ij} + H_{ij} \partial$

for some  $H_{ij} : C(G) \rightarrow C(G)$



$r, c \in S_n$

It suffice to show

$U_{c(i)} & U_{r(i)}$  are chain homotopic

$$H(\vec{x}) = \sum_{\vec{y}} \sum_{r \in \text{Rect}(\vec{x}, \vec{y})} X_i(r) - \sum_{j \neq i} X_j(r)$$

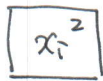
$$U_1^{a_1(r)} \dots U_n^{a_n(r)} \vec{y}$$



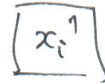
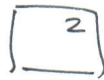
Terms

$$\partial H + H \partial$$

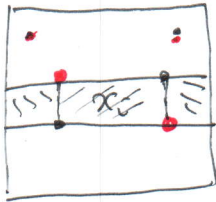
most cancel out



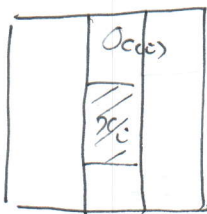
cancels out



except



contributes  $U_{\text{cross}} \vec{x}$   
to  $\partial H + H \partial$



$\leadsto U_{\text{ccis}} \vec{x}$

$$\therefore (\partial H + H \partial) \vec{x} = (U_{\text{cross}} - U_{\text{ccis}}) \vec{x}$$

Def.  $H^-(G) = H_*(C^-(G), \partial^-)$

module over  $\mathbb{F}[u_1, \dots, u_n]$

but all  $u_i$ 's act the same way

= module over  $\mathbb{F}[u]$   $u = u_i \forall i$

Thm  $\text{HFK}^-(K) = H^-(G)$  is a knot invariant, as a  $\mathbb{F}[u]$ -module,

proof: later

$$\widehat{C}(G) = \overline{C}(G) \otimes_R R/U_i \quad \text{ie. set } U_i = 0$$

$(\widehat{C}(G), \widehat{\partial})$  : gives homology group  $\widehat{HFK}(K)$

$\mathbb{H}[U_1, \dots, U_n]$ -module

but  $U_i$ 's act trivially.

$\overline{C}, \widehat{C}$  have bigradings  $(M, A)$

$$A, M: S(G) \rightarrow \mathbb{Z} \quad \begin{array}{cc} \text{Maslov} & \text{Alexander} \\ m & s \end{array}$$

relative gradings

$$A(\vec{x}) - A(\vec{y})$$

If  $\vec{x}, \vec{y}$  : differ by a transposition,  $r \in \text{Red}(\vec{x}, \vec{y})$

$$A(\vec{x}) - A(\vec{y}) = \sum_i X_i(r) - \sum_i O_i(r)$$

indep. of  $r$

$$M(\vec{x}) - M(\vec{y}) = 1 + 2G(r) - 2 \sum_i O_i(r)$$

$$A(u_1^{m_1} \dots u_n^{m_n} \vec{x}) = A(\vec{x}) - (m_1 + \dots + m_n)$$

$$M(u_1^{m_1} \dots u_n^{m_n} \vec{x}) = M(\vec{x}) - 2(m_1 + \dots + m_n)$$

Rem.  $\partial^-$  fixes  $A$ , drops  $M$  by 1

$$\boxed{r} \quad \vec{x} \text{ to } u_1^{m_1} \dots u_n^{m_n} \vec{y} = \widehat{\vec{y}}$$

$$A(\vec{x}) = A(\widehat{\vec{y}})$$

$$M(\vec{x}) = M(\widehat{\vec{y}}) + 1$$

link case  $\mathbb{H}[U_1, \dots, U_k]$   $l$ : # of components